

**Declaration for Beneficial Ownership**

Date: DD/MM/YYYY

This declaration is to be submitted in all Employer-Employee, Partnership, Keyman, Trust, and Group Policies

- Name of the Company / Partnership firm/ Unincorporated association or Body of Individuals/Trust: \_\_\_\_\_
- Whether the Company is listed in the stock exchange Yes/No \_\_\_\_\_ (If Yes, please refer to Type of Shareholder table)
- Ownership or entitlement is as per the criteria of serial no 1 to 4 mentioned in Type of Shareholder table Yes / No \_\_\_\_\_

This is to certify that the following members hold the percentage of shareholding against their names

| Sr No. | Name of the Shareholder | Percentage of Holding | *Type of Shareholder | PAN | ** KYC documents submitted |               | Politically Exposed Person (Yes/No) |
|--------|-------------------------|-----------------------|----------------------|-----|----------------------------|---------------|-------------------------------------|
|        |                         |                       |                      |     | Identity Proof             | Address proof |                                     |
| 1      |                         |                       |                      |     |                            |               |                                     |
| 2      |                         |                       |                      |     |                            |               |                                     |
| 3      |                         |                       |                      |     |                            |               |                                     |
| 4      |                         |                       |                      |     |                            |               |                                     |
| 5      |                         |                       |                      |     |                            |               |                                     |
| 6      |                         |                       |                      |     |                            |               |                                     |

Name of the Authorised Signatory

SIGN HERE

Signature/thumb impression

\* Individual, Partnership firm, Company, Trust  
\*\* KYC documents to be collected if it is an Individual

**Note:**

To have a uniform approach across the financial sector, the following procedures for determination of Beneficial Ownership as mentioned in Rule 9(3) of PML Rules, 2005 as amended from time to time are prescribed:

| Sr No. | Type of Shareholder                               | Identification of Beneficial Owner                                                                                                                                                                                                                          |
|--------|---------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1      | Company                                           | Ownership of/ entitlement to more than 10% of the capital or profits or shares of the entity                                                                                                                                                                |
| 2      | Partnership Firm                                  | Ownership of/ entitlement to more than 15% of the capital or profits of the entity                                                                                                                                                                          |
| 3      | Unincorporated association or body of individuals | Ownership of/ entitlement to more than 15% of the capital or profits of the entity                                                                                                                                                                          |
| 4      | Trust                                             | Settler of the trust/ the trustee/ the protector/ the beneficiaries with 10% or more interest in the Trust and any other natural person                                                                                                                     |
| 5      | Listed Company                                    | where the client or the owner of the controlling interest is a company listed on a stock exchange or is a subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner(s) of such companies |